

Well-posedness for the Viscous Weakly Dispersive Degasperis-Procesi Equation

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(Received 28 April 2009, accepted 14 June 2009)

Abstract: In this paper, We would like to consider the viscous dispersive Degasperis-Procesi equation. we apply Kato's theorem, study the local well-posedness problem of the Cauchy problem for the viscous dispersive Degasperis-Procesi equation. We are interested in the effect of the weakly dispersive term to the viscous Degasperis-Procesi equation.

Keywords: well-posedness; viscous dispersive Degasperis-Procesi equation; Kato's theory

1 Introduction

Recently, Holm and Staley [1] introduced the b-family PDEs that described the balance between convection and stretching for small viscosity in the dynamics of one dimensional nonlinear wave in fluids

$$m_t + um_x + bu_xm = \varepsilon m_{xx} \quad (1.1)$$

Here $u = g * m$, denotes $u(x) = \int_{-\infty}^{\infty} g(x-y)m(y)dy$. The convolution relates velocity u to momentum density m by integration against the kernel $g(x)$.

When Eq.(1.1) is restricted to the peakon case $g(x) = e^{-|x|}/\alpha$ with length scale α and $m = u - \alpha^2 u_{xx}$, it may be expressed solely in terms of the velocity $u(x, t)$ as (see [1]).

$$u_t - \alpha^2 u_{xxt} - \varepsilon(u_{xx} - u_{xxx}) + (b+1)uu_x = \alpha^2(bu_x u_{xx} + uu_{xxx}), \quad (1.2)$$

where b, α are arbitrary real constants. Holm and Staley studied the effects of the balance parameter b and kernel $g(x)$ of solitary wave structures and investigated their interactions analytically for $\varepsilon = 0$ and numerically for small viscosity $\varepsilon \neq 0$, of [1].

With $\varepsilon = 0$ in Eq.(1.2), it becomes the usual b-family equation

$$u_t - \alpha^2 u_{xxt} + (b+1)uu_x = \alpha^2(bu_x u_{xx} + uu_{xxx}). \quad (1.3)$$

The Eq.(1.3) can be derived as the as the family of asymptotically equivalent shallow water wave equations that emerge at quadratic order accuracy for any $b \neq -1$ by an appropriate Kodama transformation, of [2,3]. For the case $b = -1$, the corresponding Kodama transformation is singular and the asymptotic ordering is violated, of [2,3].

If $\alpha = 0, b = 2$ and $\varepsilon = 0$, then Eq.(1.2) becomes the well-known Korteweg-de Vries equation which describes the unidirectional propagation of waves at the free surface of shallow water under the influence of gravity, of [4-5]. For $\alpha = 1, b = 2$ and $\varepsilon = 0$, Eq. (1.2) becomes the Camassa-Holm equation, modeling the unidirectional propagation of shallow water waves over a flat bottom, the Cauchy problems of the Camassa-Holm equation have been studied extensively. It has been shown that this equation is locally well-posed [6-10] for the initial data $u_0 \in H^s(R)$ with $s > \frac{3}{2}$. For $\alpha = 1, b = 2$ and $\varepsilon \neq 0$ in Eq.(1.2), it becomes

$$u_t - u_{xxt} - \varepsilon(u_{xx} - u_{xxx}) + 3uu_x = 2u_x u_{xx} + uu_{xxx}, \quad (1.4)$$

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 IJNS.2009.10.15/271

which is the one-dimensional version of the three dimensional Navier-Stokes-alpha model for turbulence [11,12], we call Eq. (1.4) the viscous Camassa-Holm equation.

If $\alpha = 1$, $b = 3$ and $\varepsilon = 0$ in Eq. (1.2), then we find the Degasperis-Procesi equation. The Degasperis-Procesi equation can be regarded as a model for nonlinear shallow water waves dynamics and its asymptotic accuracy is the same as for the Camassa-Holm shallow water equation. Recently, the problem of global well-posedness for the Cauchy problem of the viscous Degasperis-Procesi equation is studied [13].

$$u_t - u_{xxt} - (u_{xx} - u_{xxxx}) + 4uu_x = 3u_x u_{xx} + uu_{xxx}. \quad (1.5)$$

We would like to consider the viscous dispersive Degasperis-Procesi equation

$$u_t - u_{xxt} - \varepsilon(u_{xx} - u_{xxxx}) + 4uu_x + L(u) = 3u_x u_{xx} + uu_{xxx} \quad (1.6)$$

where $L(u)$ is a dispersive item, L can be a differential operator or a quasi-differential operator according to different physical situations. We are interested in the effect of the weakly dispersive term to the viscous Degasperis-Procesi equation.

In this letter, we are interested in well-posedness problem for the following equation

$$u_t - u_{xxt} - \varepsilon(u_{xx} - u_{xxxx}) + 4uu_x + \gamma(u - u_{xx})_x = 3u_x u_{xx} + uu_{xxx} \quad (1.7)$$

where $\varepsilon(u_{xx} - u_{xxxx})$ is viscous item, $L(u) = \gamma(u - u_{xx})_x$ is the weakly dispersive item and $\gamma > 0$ is constant. The optimal control problem for the Eq.(1.7) has been studied in [14].

Notation we denote by " * " the convolution. We write \hat{u} as the Fourier transform of u and also use $(,)$ to present the standard inner product in L^2 , for $1 \leq p \leq \infty$. The norm in the Lebesgue space L^p will be written $\|\cdot\|_{L^p}$, while $\|\cdot\|_s$, $s \geq 0$ will stand for the norm in the classical Sobolev space $H^s(\mathbb{R})$. If A is unbounded operator, $D(A)$ is defined as the domain of the operator A , $[A, B]$ denotes the commutator of the linear operators A, B . $\|\cdot\|_s$ is defined as the norm in Banach space X .

2 Local well-posedness

In this section, we apply Kato's theory to establish local well-posedness for the Cauchy problem of (1.7). For convenience, we state here Kato's theorem in a form suitable for our purpose. Consider the abstract quasi-linear evolution equation:

$$\begin{cases} \frac{dv}{dt} + A(v)v = f(v), & t \geq 0, \\ v(0) = v_0 \end{cases} \quad (2.1)$$

Let X and Y be Hilbert spaces such that Y is continuously and densely embedded in X , and let $Q : Y \rightarrow X$ be a topological isomorphism. Let $L(Y, X)$ denote the space of all bounded linear operators from Y to X . If $X = Y$, we denote this space by $L(X)$. The linear operator A belongs to $G(X, 1, \beta)$, where β is a real number, $-A$ generates a C_0 -semigroup such that $\|e^{-sA}\|_{L(X)} \leq e^{\beta s}$. We make the following assumptions, where μ_1, μ_2, μ_3 and μ_4 are constants depending only on $\max\{\|y\|_Y, \|z\|_Y\}$.

(i) $A(y) \in L(Y, X)$ for $y \in X$ with

$$\|(A(y) - A(z))w\|_X \leq \mu_1 \|y - z\|_X \|w\|_Y \quad y, z, w \in Y$$

and $A(y) \in G(X, 1, \beta)$ (i.e. $A(Y)$ is quasi-m-accretive), uniformly on bounded sets in Y .

(ii) $QA(y)Q^{-1} = A(y) + B(y)$, where $B(y) \in L(X)$ is bounded, uniformly on bounded sets in Y . Moreover,

$$\|(B(y) - B(z))w\|_X \leq \mu_2 \|y - z\|_Y \|w\|_X \quad y, z \in Y, w \in X$$

(iii) $f : Y \rightarrow Y$ extends to a map from Y into Y , is bounded on bounded sets in Y , and satisfies

$$\|f(y) - f(z)\|_Y \leq \mu_3 \|y - z\|_Y \quad y, z \in Y,$$

$$\|f(y) - f(z)\|_X \leq \mu_4 \|y - z\|_X \quad y, z \in Y.$$

Theorem 2.1 (Kato, [15]). Assume that (i), (ii), and (iii) hold. Given $v_0 \in Y$, there is a maximal $T > 0$ depending only on $\|v_0\|_Y$, and a unique solution v to (2.1) such that $v = v(\cdot, v_0) \in C([0, T]; Y) \cap C^1([0, T]; X)$. Moreover, the map $v_0 \rightarrow v(\cdot, v_0)$ is a continuous map from Y to $C([0, T]; Y) \cap C^1([0, T]; X)$.

With $m = u - u_{xx}$, we consider the Cauchy problem of Eq.(1.7)

$$\begin{cases} m_t - \varepsilon m_{xx} + 3u_x m + u m_x + \gamma m_x = 0, & t > 0, x \in R, \\ m(0, x) = u_0(x) - u_{0,xx}(x), & x \in R. \end{cases} \tag{2.2}$$

Note that if $p(x) := \frac{1}{2}e^{-|x|}$, $x \in R$, then $(1 - \partial_x^2)^{-1} f = p * f$ for all $f \in L^2(R)$, and $p * m = u$. Using this identity, we can rewrite (2.2) as

$$\begin{cases} u_t + uu_x - \varepsilon u_{xx} + \gamma u_x = -\partial_x p * (\frac{3}{2}u^2), & t > 0, x \in R, \\ u(0, x) = u_0(x), & x \in R. \end{cases} \tag{2.3}$$

Definition 2.2 If $u \in C([0, t], H^s(R)) \cap C^1([0, t], H^{s-1}(R))$ with $s > \frac{3}{2}$ satisfies (2.3), then u is called a strong solution to (2.3). If u is a strong solution on $[0, T)$ for every $T > 0$, then it is called global strong solution to (2.3).

The local well-posedness of the Cauchy problem of (2.3) with initial data $u_0 \in H^s(R)$, $s > \frac{3}{2}$ can be obtained by applying Kato’s theorem [19]. More precisely, we have the following well-posedness result.

Theorem 2.3 Given $u_0 \in H^s(R)$, ($s > \frac{3}{2}$), there exists a maximal value $T = T(\alpha, c_0, \gamma, \varepsilon, u_0) > 0$, and a unique solution u to (2.3), such that $u = u(\cdot, u_0) \in C([0, T]; H^s) \cap C^1([0, T]; H^{s-1}(R))$. Moreover, the solution depends continuously on the initial data, i.e., the mapping $u_0 \rightarrow u(\cdot, u_0) : H^s \rightarrow C([0, T]; H^s) \cap C^1([0, T]; H^{s-1}(R))$ is continuous.

To prove this theorem, we apply Theorem 2.1 with $A(u) = (u + \gamma - \varepsilon u_x)\partial_x$, $f(u) = -\partial_x (1 - \partial_x^2)^{-1} (\frac{3}{2}u^2)$, $Y = H^s$, $X = H^{s-1}$, $Q = \wedge = (1 - \partial_x^2)^{\frac{1}{2}}$, obviously, Q is an isomorphism of H^s onto H^{s-1} . Thus, in order to derive Theorem 2.3 from Theorem 2.1, we only need to verify that $A(u)$ and $f(u)$ satisfy the conditions (i)-(iii). We introduce some useful lemmas.

Lemma 2.4 ([15]) Let s, t be real numbers such that $-s < t \leq s$. Then

$$\|fg\|_t \leq c \|f\|_s \|g\|_t, \quad \text{if } s > \frac{1}{2},$$

$$\|fg\|_{s+t-\frac{1}{2}} \leq c \|f\|_s \|g\|_t, \quad \text{if } s < \frac{1}{2},$$

where c is a positive constant depending on s and t .

Lemma 2.5 ([16]) Let $f \in H^s$, $s > \frac{3}{2}$. Then

$$\|\wedge^{-\gamma} [\wedge^{\gamma+t+1}, M_f] \wedge^{-t}\|_{L(L^2)} \leq c \|f\|_s \quad |\gamma|, |t| \leq s - 1,$$

where M_f is the operator of multiplication by f , and c is a constant depending only on γ and t .

Lemma 2.6 ([17]) Let X and Y be two Banach spaces and Y be continuously and densely embedded in X . Let $-A$ be the infinitesimal generator of the C_0 -semigroup $T(t)$ on X and let S be an isomorphism from Y onto X . Then Y is $-A$ -admissible if and only if $-A_1 = -SAS^{-1}$ is the infinitesimal generator of the C_0 -semigroup $T_1(t) = ST(t)S^{-1}$ on X . Moreover, if Y is $-A$ -admissible, then the part of $-A$ in Y is the infinitesimal generator of the restriction of $T(t)$ to Y .

Lemma 2.7 The operator $A(u) = (u + \gamma - \varepsilon u_x)\partial_x$, with $u \in H^s$, $s > \frac{3}{2}$, belongs to $G(L^2, 1, \beta)$.

Proof. Since L^2 is a Hilbert space, we have $A(u) \in G(L^2, 1, \beta)$ for some real number β if and only if the following conditions hold:

- (a) $(A(u)m, m)_0 \geq -\beta \|m\|_0^2$
 (b) The range of $A + \lambda$ is all of X , for some (or all) $\lambda > \beta$.

We first prove (a). Since $u \in H^s, s > \frac{3}{2}$, u, u_x, u_{xx} belong to L^∞ . Note that $\|u_x\|_{L^\infty} \leq \|u\|_s$ and $\|u_{xx}\|_{L^\infty} \leq \|u\|_s$. Thus

$$\begin{aligned} (A(u)m, m)_0 &= (u\partial_x m, m)_0 + \gamma(\partial_x m, m)_0 - \varepsilon(u_x \partial_x m, m)_0 \\ &= -\frac{1}{2}(u_x m, m)_0 - \frac{\varepsilon}{2}(u_{xx} m, m)_0 \\ &\leq \left(\frac{1}{2}\|u_x\|_{L^\infty} + \frac{\varepsilon}{2}\|u_{xx}\|_{L^\infty}\right) \|m\|_0^2 \\ &\leq c\|u\|_s \|m\|_0^2 \end{aligned}$$

Setting $\beta = c\|u\|_s$, we obtain $(A(u)m, m)_0 \geq -\beta \|m\|_0^2$.

Next, we prove (b). Because $A(u)$ is a closed operator and satisfies (a), $(\lambda I + A)$ has closed range in L^2 for all $\lambda > \beta$. Therefore, it suffices to show that $(\lambda I + A)$ has dense range in L^2 for all $\lambda > \beta$.

Give $u \in H^s, s > \frac{3}{2}$, and $m \in L^2$, we have the generalized Leibnitz formula

$$\partial_x(u + \gamma - \varepsilon u_x)m = (u_x - \varepsilon u_{xx})m + (u + \gamma - \varepsilon u_x)\partial_x m.$$

Since $u_x, u_{xx} \in L^\infty$, we have

$$\begin{aligned} D(A) &= D((u + \gamma - \varepsilon u_x)\partial_x) = \{m \in L^2, (u + \gamma - \varepsilon u_x)\partial_x m \in L^2\} \\ &= \{z \in L^2, -\partial_x(u + \gamma - \varepsilon u_x)z \in L^2\} \\ &= D(((u + \gamma - \varepsilon u_x)\partial_x)^*) = D(A^*) \end{aligned}$$

Assume that the range of $(A + \lambda I)$ is not all of L^2 . Then there exists $z \in L^2, z \neq 0$ such that $((\lambda I + A)m, z)_0 = 0$ for all $m \in D(A)$. Since $H^1 \subset D(A)$, $D(A)$ is dense in L^2 . Hence it follows that $z \in D(A^*)$ and $\lambda z + A^*z = 0$ in L^2 . Since $D(A) = D(A^*)$, multiplying by z and integrating by parts, we obtain

$$0 = ((\lambda I + A^*)z, z)_0 = (\lambda z, z) + (z, Az) \geq (\lambda - \beta)\|z\|_0^2, \quad \forall \lambda > \beta$$

and thus $z = 0$, which contradicts our assumption $z \neq 0$. This completes the proof of Lemma 2.7. ■

Lemma 2.8 The operator $A(u) = (u + \gamma - \varepsilon u_x)\partial_x$, with $u \in H^s, s > \frac{3}{2}$, belongs to $G(H^{s-1}, 1, \beta)$.

Proof. Since H^{s-1} is a Hilbert space, we have $A(u) \in G(H^{s-1}, 1, \beta)$ for some real number β if and only if the following conditions hold:

- (a) $(A(u)m, m)_{s-1} \geq -\beta \|m\|_{s-1}^2$
 (b) $-A(u)$ is the infinitesimal generator of a C_0 -semigroup on H^{s-1} , for some (or all) $\lambda > \beta$.

We first prove (a). Since $u \in H^s, s > \frac{3}{2}$, u, u_x and u_{xx} belong to L^∞ . Note that $\|u_x\|_{L^\infty} \leq \|u\|_s$, $\|u_{xx}\|_{L^\infty} \leq \|u\|_s$ and $(\wedge^{s-1}\gamma\partial_x m, \wedge^{s-1}m)_0 = 0$, then we have

$$\begin{aligned} (A(u)m, m)_{s-1} &= (\wedge^{s-1}(u\partial_x m), \wedge^{s-1}m)_0 + (\wedge^{s-1}(-\varepsilon u_x \partial_x m), \wedge^{s-1}m)_0 \\ &= ([\wedge^{s-1}, u]\partial_x m, \wedge^{s-1}m)_0 - \frac{1}{2}(u_x \wedge^{s-1}m, \wedge^{s-1}m)_0 \\ &\quad + ([A^{s-1}, -\varepsilon u_x]\partial_x m, A^{s-1}m)_0 - \frac{1}{2}(-\varepsilon u_{xx}A^{s-1}m, A^{s-1}m)_0 \\ &\leq \|[\wedge^{s-1}, u]\wedge^{2-s}\|_{L(L^2)} \|\wedge^{s-1}m\|_0^2 + \|u_x\|_{L^\infty} \|\wedge^{s-1}m\|_0^2 \\ &\quad + \|[\wedge^{s-1}, -\varepsilon u_x]\wedge^{2-s}\|_{L(L^2)} \|\wedge^{s-1}m\|_0^2 + \|u_{xx}\|_{L^\infty} \|\wedge^{s-1}m\|_0^2 \\ &\leq c\|u\|_s \|m\|_{s-1}^2 \end{aligned}$$

By Lemma 2.5 with $\gamma = 0, t = s - 2$. Setting $\beta = c\|u\|_s$, we obtain $(A(u)m, m)_{s-1} \geq -\beta \|m\|_{s-1}^2$ as claimed.

Next, we prove (b). Let $S = \wedge^{s-1}$, and note that S is an isomorphism of H^{s-1} onto L^2 and that H^{s-1} is continuously and densely embedded in L^2 since $s > \frac{3}{2}$. Define

$$A_1(u) = SA(u)S^{-1} = \wedge^{s-1}A(u)\wedge^{1-s}, \quad B_1(u) = A_1(u) - A(u)$$

Let $m \in L^2$ and $u \in H^s, s > \frac{3}{2}$. Then we have

$$\begin{aligned} \|B_1(u)m\|_0 &= \left\| [\Lambda^{s-1}, u\partial_x] \wedge^{1-s} m \right\|_0 + \left\| [\Lambda^{s-1}, -\varepsilon u_x \partial_x] \wedge^{1-s} m \right\|_0 \\ &\leq \left\| [\Lambda^{s-1}, u] \wedge^{2-s} \right\|_{L(L^2)} \left\| \wedge^{-1} \partial_x m \right\|_0 + \left\| [\Lambda^{s-1}, -\varepsilon u_x] \wedge^{2-s} \right\|_{L(L^2)} \left\| \wedge^{-1} \partial_x m \right\|_0 \\ &\leq c \|u\|_s \|m\|_0. \end{aligned}$$

By Lemma 2.5 with $\gamma = 0, t = s - 2$. Hence $B_1(u) \in L(L^2)$.

Note that $A_1(u) = A(u) + B_1(u)$ and $A(u) \in G(L^2, 1, \beta)$, by a perturbation theorem for semigroups, we obtain $A_1(u) \in G(L^2, 1, \beta)$. Applying Lemma 2.6 with $Y = H^{s-1}, X = L^2$, and $S = \Lambda^{s-1}$, we conclude that H^{s-1} is A -admissible. Hence $-A(u)$ is the infinitesimal generator of a C_0 -semigroup on H^{s-1} . This completes the proof of Lemma 2.8. ■

Lemma 2.9 The operator $A(u) = (u + \gamma - \varepsilon u_x)\partial_x$, with $u \in H^s, s > \frac{3}{2}$, then $A(u) \in L(H^s, H^{s-1})$. Moreover,

$$\|(A(u) - A(z))w\|_{s-1} \leq \mu_1 \|u - z\|_{s-1} \|w\|_s, \quad u, z, w \in H^s \tag{2.4}$$

Proof. Let $u, z, w \in H^s, s > \frac{3}{2}$. Note that H^{s-1} is a Banach algebra. Then we have

$$\begin{aligned} \|(A(u) - A(z))w\|_{s-1} &\leq c \|u - z\|_{s-1} \|(u_x - z_x)\|_{s-1} \|\partial_x w\|_{s-1} \\ &\leq c \|u - z\|_{s-1} \|u - z\|_s \|w\|_s \\ &\leq \mu_1 \|u - z\|_{s-1} \|w\|_s. \end{aligned}$$

This let $\mu_1 = c \|(u - z)\|_s$. Taking $z = 0$ in the above inequality, we obtain $A(u) \in L(H^s, H^{s-1})$. This completes the proof of Lemma 2.9. ■

Lemma 2.10 $B(u) = [\Lambda^{-1}, (u + \gamma - \varepsilon u_x)\partial_x] \Lambda^{-1} \in L(H^{s-1})$ for $u \in H^s, s > \frac{3}{2}$. Moreover

$$\|(B(u) - B(z))w\|_{s-1} \leq \mu_2 \|u - z\|_s \|w\|_{s-1}, \quad u, z \in H^s, w \in H^{s-1}$$

Proof. We have

$$\begin{aligned} \|(B(u) - B(z))w\|_{s-1} &\leq \left\| \Lambda^{s-1} [\Lambda^1, (u - z - \varepsilon u_x + \varepsilon z_x) \partial_x] \wedge^{-1} w \right\|_0 \\ &\leq \left\| \Lambda^{s-1} [\Lambda, (u - z - \varepsilon(u_x - z_x))] \Lambda^{1-s} \right\|_{L(L^2)} \left\| \Lambda^{s-2} \partial_x w \right\|_0 \\ &\leq \mu_2 \|y - z\|_s \|w\|_{s-1}, \end{aligned}$$

where we applied Lemma 2.5 with $\gamma = 1 - s, t = s - 1$. Taking $z = 0$ in the above inequality, we obtain $B(u) \in L(H^{s-1})$. This completes the proof of Lemma 2.10. ■

Lemma 2.11 Let $f(u) = -\partial_x (1 - \partial_x^2)^{-1} (\frac{3}{2}u^2)$, then it is bounded on bounded sets in H^s , and satisfies

- (a) $\|f(y) - f(z)\|_s \leq \mu_3 \|y - z\|_s, \quad y, z \in H^s,$
- (b) $\|f(y) - f(z)\|_{s-1} \leq \mu_4 \|y - z\|_{s-1}, \quad y, z \in H^s.$

Proof. Let $y, z \in H^s, s > \frac{3}{2}$, and note that H^{s-1} is a Banach algebra. Then we have

$$\begin{aligned} \|f(y) - f(z)\|_s &= \left\| -\partial_x (1 - \partial_x^2)^{-1} \left(\frac{3}{2} (y^2 - z^2) \right) \right\|_s \\ &\leq C \|(y - z)(y + z)\|_{s-1} \\ &\leq C \|y - z\|_s \|y + z\|_s \\ &\leq C (\|y\|_s + \|z\|_s) \|y - z\|_s. \end{aligned}$$

This proves (a). Taking $z = 0$ in the above inequality, we obtain that f is bounded on bounded sets in H^s .

Next, we prove (b). Let $y, z \in H^s, s > \frac{3}{2}$, and note that H^{s-1} is a Banach algebra. Then we have

$$\begin{aligned} \|f(y) - f(z)\|_{s-1} &= \left\| -\partial_x (1 - \partial_x^2)^{-1} \left(\frac{3}{2} (y^2 - z^2) \right) \right\|_{s-1} \\ &\leq C \|(y - z)(y + z)\|_{s-2} \\ &\leq C \|y - z\|_{s-1} \|y + z\|_{s-1} \\ &\leq C (\|y\|_{s-1} + \|z\|_{s-1}) \|y - z\|_{s-1}, \end{aligned}$$

where we applied Lemma 2.4 with $\gamma = 1 - s, t = s - 1$. This completes the proof of Lemma 2.11. ■

Proof of Theorem 2.3. The result follows by combining Theorem 2.1 and Lemmas 2.8-2.11.

3 Acknowledgements

Research was supported by the National Nature Science Foundation of China (No: 10771088) and the Nature Science Foundation of Jiangsu (No:2007098) and the Outstanding Personnel Program in Six Fields of Jiangsu (No: 6-A-029).

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